

Governance Information (Authority-Related)

Question	Response	URL (if applicable)
1. Has the Authority prepared its annual report on operations and accomplishments for the reporting period as required by section 2800 of PAL?	Yes	http://www.co.ontario.ny.us/1090/Our-Reporting
2. As required by section 2800(9) of PAL, did the Authority prepare an assessment of the effectiveness of its internal controls?	Yes	http://www.co.ontario.ny.us/1090/Our-Reporting
3. Has the lead audit partner for the independent audit firm changed in the last five years in accordance with section 2802(4) of PAL?	Yes	N/A
4. Does the independent auditor provide non-audit services to the Authority?	No	N/A
5. Does the Authority have an organization chart?	Yes	http://www.co.ontario.ny.us/1023/Compliance-Documents
6. Are any Authority staff also employed by another government agency?	Yes	Ontario County
7. Has the Authority posted their mission statement to their website?	Yes	http://www.co.ontario.ny.us/942/Our-Mission
8. Has the Authority's mission statement been revised and adopted during the reporting period?	No	N/A
9. Attach the Authority's measurement report, as required by section 2824-a of PAL and provide the URL.		http://www.co.ontario.ny.us/1090/Our-Reporting

Governance Information (Board-Related)

Question	Response	URL
1. Has the Board established a Governance Committee in accordance with Section 2824(7) of PAL?	Yes	N/A
2. Has the Board established an Audit Committee in accordance with Section 2824(4) of PAL?	Yes	N/A
3. Has the Board established Finance Committee in accordance with Section 2824(8) of PAL?	Yes	N/A
4. Provide a URL link where a list of Board committees can be found (including the name of the committee and the date established):		http://www.co.ontario.ny.us/1046/Our-Governance
5. Does the majority of the Board meet the independence requirements of Section 2825(2) of PAL?	Yes	N/A
6. Provide a URL link to the minutes of the Board and committee meetings held during the covered fiscal year		http://www.co.ontario.ny.us/1019/Meetings
7. Has the Board adopted bylaws and made them available to Board members and staff?	Yes	http://www.co.ontario.ny.us/1023/Compliance-Documents
8. Has the Board adopted a code of ethics for Board members and staff?	Yes	http://www.co.ontario.ny.us/1023/Compliance-Documents
9. Does the Board review and monitor the Authority's implementation of financial and management controls?	Yes	N/A
10. Does the Board execute direct oversight of the CEO and management in accordance with Section 2824(1) of PAL?	Yes	N/A
11. Has the Board adopted policies for the following in accordance with Section 2824(1) of PAL?		
Salary and Compensation	Yes	N/A
Time and Attendance	Yes	N/A
Whistleblower Protection	Yes	N/A
Defense and Indemnification of Board Members	Yes	N/A
12. Has the Board adopted a policy prohibiting the extension of credit to Board members and staff in accordance with Section 2824(5) of PAL?	Yes	N/A
13. Are the Authority's Board members, officers, and staff required to submit financial disclosure forms in accordance with Section 2825(3) of PAL?	Yes	N/A
14. Was a performance evaluation of the board completed?	Yes	N/A
15. Was compensation paid by the Authority made in accordance with employee or union contracts?	Yes	N/A
16. Has the board adopted a conditional/additional compensation policy governing all employees?	No	

Board of Directors Listing

Name	Reh, David	Name	Zulick, Lewis C
Chair of Board	No	Chair of Board	No
If yes, Chair designated By.		If yes, Chair designated By.	
Term Start Date	01/01/2012	Term Start Date	01/01/2016
Term Expiration Date	12/31/2017	Term Expiration Date	12/31/2021
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	No
Nominated By	Local	Nominated By	Local
Appointed By	Local	Appointed By	Local
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	No

Board of Directors Listing

Name	Mittiga, Kelly	Name	Molodetz, Andrew
Chair of Board	No	Chair of Board	No
If yes, Chair designated By.		If yes, Chair designated By.	
Term Start Date	01/01/2017	Term Start Date	01/01/2013
Term Expiration Date	12/31/2022	Term Expiration Date	12/31/2018
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio		Ex-officio	No
Nominated By	Local	Nominated By	Local
Appointed By	Local	Appointed By	Local
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	No

Board of Directors Listing

Name	Davis, Michael	Name	Gallahan, Jeffery
Chair of Board	Yes	Chair of Board	No
If yes, Chair designated By.	Elected by Board	If yes, Chair designated By.	
Term Start Date	01/01/2015	Term Start Date	01/01/2016
Term Expiration Date	12/31/2020	Term Expiration Date	12/31/2018
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	No
Nominated By	Local	Nominated By	Local
Appointed By	Local	Appointed By	Local
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	Yes

Board of Directors Listing

Name	Pedersen, Laura H
Chair of Board	No
If yes, Chair designated By.	
Term Start Date	01/01/2015
Term Expiration Date	12/31/2020
Title	
Has the Board member appointed a designee?	
Designee Name	
Ex-officio	No
Nominated By	Local
Appointed By	Local
Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the Individual	Over time paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by a State or local government
Diane, Foster	Sr Clerk	Administrative and Clerical				FT	No	0.00	0	0	0	0	0	0	Yes	Yes
Kazmark, Jessica	Finance Clerk II	Administrative and Clerical				FT	No	0.00	0	0	0	0	0	0	Yes	Yes
Manikowski, Michael J	Executive Director	Executive				FT	Yes	0.00	0	0	0	0	0	0	Yes	Yes
Vary, Suzanne	Economic Development Specialist	Managerial				FT	Yes	1,922.77	1,922.77	0	0	0	0	1,922.77	Yes	Yes
Wojcik, Michael L	Chief Financial Officer	Managerial				FT	Yes	1,658.58	1,658.58	0	0	0	0	1,658.58	Yes	Yes

Benefit Information

During the fiscal year, did the Authority continue to pay for any of the above mentioned benefits for

No

Board Members

Name	Title	Severance Package	Payment for Unused Leave	Club Member-ships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allow-ance	Spousal / Dependent Life Insurance	Tuition Assist-ance	Multi-Year Employ-ment	None of These Benefits	Other
Pedersen, Laura H	Board of Directors												X	
Davis, Michael	Board of Directors												X	
Molodetz, Andrew	Board of Directors												X	
Zulick, Lewis C	Board of Directors												X	
Reh, David	Board of Directors												X	
Gallahan, Jeffery	Board of Directors												X	
Mittiga, Kelly	Board of Directors												X	

Staff

Name	Title	Severance Package	Payment for Unused Leave	Club Member-ships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allow-ance	Spousal / Dependent Life Insurance	Tuition Assist-ance	Multi-Year Employ-ment	None of These Benefits	Other
No Data has been entered by the Authority for this section in PARIS														

Subsidiary/Component Unit Verification

Is the list of subsidiaries, as assembled by the Office of the State Comptroller, correct? Yes
 Are there other subsidiaries or component units of the Authority that are active, not included in the PARIS reports submitted by this Aut No

Name of Subsidiary/Component Unit	Status	Requested Changes
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Subsidiary/Component Unit Creation

Name of Subsidiary/Component Unit	Establishment Date	Entity Purpose
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Subsidiary/Component Unit Termination

Name of Subsidiary/Component Unit	Termination Date	Termination Reason	Proof of Termination
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No Data has been entered by the Authority for this section in PARIS

Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

<u>Assets</u>	
Current Assets	
Cash and cash equivalents	\$379,880
Investments	\$0
Receivables, net	\$0
Other assets	\$0
Total Current Assets	\$379,880
Noncurrent Assets	
Restricted cash and investments	\$0
Long-term receivables, net	\$0
Other assets	\$0
Capital Assets	
Land and other nondepreciable property	\$0
Buildings and equipment	\$0
Infrastructure	\$0
Accumulated depreciation	\$0
Net Capital Assets	\$0
Total Noncurrent Assets	\$0
Total Assets	\$379,880

Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

Liabilities

Current Liabilities

Accounts payable	\$2,014
Pension contribution payable	\$0
Other post-employment benefits	\$0
Accrued liabilities	\$0
Deferred revenues	\$0
Bonds and notes payable	\$0
Other long-term obligations due within one year	\$0
Total Current Liabilities	\$2,014

Noncurrent Liabilities

Pension contribution payable	\$0
Other post-employment benefits	\$0
Bonds and notes payable	\$0
Long Term Leases	\$0
Other long-term obligations	\$0
Total Noncurrent Liabilities	\$0

Total Liabilities **\$2,014**

Net Asset (Deficit)

Net Asset

Invested in capital assets, net of related debt	\$0
Restricted	\$0
Unrestricted	\$377,866
Total Net Assets	\$377,866

Summary Financial InformationSUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGE IN NET ASSETSOperating Revenues

Charges for services	\$359,550
Rental & financing income	\$0
Other operating revenues	\$0
Total Operating Revenue	\$359,550

Operating Expenses

Salaries and wages	\$0
Other employee benefits	\$0
Professional services contracts	\$5,989
Supplies and materials	\$0
Depreciation & amortization	\$0
Other operating expenses	\$2,403
Total Operating Expenses	\$8,392

Operating Income (Loss) **\$351,158**

Nonoperating Revenues

Investment earnings	\$7
State subsidies/grants	\$0
Federal subsidies/grants	\$0
Municipal subsidies/grants	\$0
Public authority subsidies	\$0
Other nonoperating revenues	\$0
Total Nonoperating Revenue	\$7

Summary Financial InformationSUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGE IN NET ASSETSNonoperating Expenses

Interest and other financing charges	\$0
Subsidies to other public authorities	\$0
Grants and donations	\$0
Other nonoperating expenses	\$0
Total Nonoperating Expenses	\$0
Income (Loss) Before Contributions	\$351,165
Capital Contributions	\$0
Change in net assets	\$351,165
Net assets (deficit) beginning of year	\$26,701
Other net assets changes	\$0
Net assets (deficit) at end of year	\$377,866

Current Debt

Question	Response
1. Did the Authority have any outstanding debt, including conduit debt, at any point during the reporting period?	Yes
2. If yes, has the Authority issued any debt during the reporting period?	Yes

New Debt Issuances List by Type of Debt and Program

Type Of Debt: Conduit Debt

Program:

Project	Amounts	CUSIP Number	Bond Closing Date	Taxable Status	Issue Process	True Interest Cost	Interest Type	Term	Cost of Issuance (\$)	PACB Project	URL
FF Thompson Hospital	Refunding	35,880,000.00	12/27/2017		Negotiated	3.29	Fixed	23	863,030.00		
	New	0.00									
	Total	35,880,000.00									

Schedule of Authority Debt

Type of Debt	Statutory Authorization (\$)	Outstanding Start of Fiscal Year (\$)	New Debt Issuances (\$)	Debt Retired (\$)	Outstanding End of Fiscal Year (\$)
State Obligation					
State Guaranteed					
State Supported					
State Contingent Obligation					
State Moral Obligation					
Authority Debt - General Obligation					
Authority Debt - General Obligation					
Authority Debt - Other					
Authority Debt - Other					
Authority Debt - Revenue					
Authority Debt - Revenue					
Conduit					
Conduit Debt	0.00	26,590,000.00	35,880,000.00	26,590,000.00	35,880,000.00
Conduit Debt - Pilot Increment Financing					
Other State-Funded					
Other State-Funded					

Real Property Acquisition/Disposal List

This Authority has indicated that it had no real property acquisitions or disposals during the reporting period.

Personal Property

This Authority has indicated that it had no personal property disposals during the reporting period.

Property Documents

Question	Response	URL (if applicable)
1. In accordance with Section 2896(3) of PAL, the Authority is required to prepare a report at least annually of all real property of the Authority. Has this report been prepared?	Yes	http://www.co.ontario.ny.us/1090/Our-Reporting
2. Has the Authority prepared policies, procedures, or guidelines regarding the use, awarding, monitoring, and reporting of contracts for the acquisition and disposal of property?	Yes	http://www.co.ontario.ny.us/1023/Compliance-Documents
3. In accordance with Section 2896(1) of PAL, has the Authority named a contracting officer who shall be responsible for the Authority's compliance with and enforcement of such guidelines?	Yes	

Grant Information

This Authority has indicated that it did not award any grants during the reporting period.

Loan Information

This Authority has indicated that it did not award any loans during the reporting period.

Bond Information

1. Name of Recipient of Bond
 Proceeds: FF Thompson Hospital
 Address Line1: 350 Parrish St
 Address Line2:
 City: CANANDAIGUA
 State: NY
 Zip - Plus4: 14424
 Province/Region:
 Country: USA
 Amount of Bonds Issued: \$35,880,000.00
 Date Bonds Issued: 12/27/2017
 Bond Interest Rate: 3.29
 Last Year Bonds Expected to be Retired: 2040
 Amount of Bond Principal retired during the reporting year: \$0
 Amt of Bond Principal retired prior to reporting year:
 Current Amount of Bonds Outstanding: \$35,880,000
 Purpose of project requiring the Bond Issuance: Commercial Property Construction/Acquisition/Revitalization/Improvement
 Was the bond issuance expected to result in new jobs being created? No
 If yes, how many jobs were planned to be created?
 If yes, how many jobs have been created to date?
 Have the bonds been fully retired? No

2. Name of Recipient of Bond
 Proceeds: Frederick Ferris Thompson Hospital
 Address Line1: 350 Parrish Street
 Address Line2:
 City: CANANDAIGUA
 State: NY
 Zip - Plus4: 14424
 Province/Region:
 Country: USA
 Amount of Bonds Issued: \$29,700,000.00
 Date Bonds Issued: 08/01/2010
 Bond Interest Rate: 4.64
 Last Year Bonds Expected to be Retired: 2037
 Amount of Bond Principal retired during the reporting year: \$26,590,000
 Amt of Bond Principal retired prior to reporting year: \$3,110,000
 Current Amount of Bonds Outstanding: \$0
 Purpose of project requiring the Bond Issuance: Commercial Property Construction/Acquisition/Revitalization/Improvement
 Was the bond issuance expected to result in new jobs being created? Yes
 If yes, how many jobs were planned to be created? 14
 If yes, how many jobs have been created to date? 14
 Have the bonds been fully retired? Yes

Additional Comments:

Governance Information (Board-Related) Q8 ¿ The policy for Board members and staff may be found beginning on page 11 of the OCLDC¿s Policy and Procedure Manual.

Governance Information (Board-Related) Q11 (a) ¿ The Salary and Compensation policy may be found on page 8 of the OCLDC¿s Policy and Procedure manual.

Governance Information (Board-Related) Q11 (b) ¿ The Time and Attendance policy may be found on page 8 of the OCLDC¿s Policy and Procedure manual.

Governance Information (Board-Related) Q11 (c) - The Whistleblower Protection policy may be found on pages 14 of the OCLDC¿s Policy and Procedure manual.

Governance Information (Board-Related) Q11 (d) - The Defense and Indemnification of Board Members policy may be found on page 13 of the OCLDC¿s Policy and Procedure manual.

Governance Information (Board-Related) Q12 ¿ The policy for prohibiting the extension of credit to Board members and staff may be found on page 11 in the Code of Ethics section of the OCLDC¿s Policy and Procedure Manual.

Governance Information (Board-Related) Q15 ¿ The OCLDC does not have any employees. The OCEDC contracts with Ontario County whose employees are paid in accordance with a union contract. Since the OCLDC is considered a Related Entity of the County, this question is answered yes.

Governance Information (Board-Related) Q16 ¿ Since OCLDC does not have any payroll and the Board receives no remuneration for its services, there is no additional compensation policy governing employees and Board members. The adopted Policy and Procedures Manual does address reasonable documented mileage reimbursements for OCLDC business at a mileage rate established by the IRS.

Property Documents Q2 - The policy and procedures regarding the acquisition and disposal of all fixed assets may be found in the may be found on pages 30-31 of the OCLDC¿s Policy and Procedure manual.

Property Documents Q3 ¿ The CFO is named as the Contracting Officer and may be found of page 9 of the OCLDC¿s Policy and Procedure manual.