

Governance Information (Authority-Related)

Question	Response	URL (if applicable)
1. Has the authority prepared its annual report on operations and accomplishments for the reporting period as required by section 2800 of PAL?	Yes	www.co.ontario.ny.us
2. As required by section 2800(9) of PAL, did the authority prepare an assessment of the effectiveness of its internal controls?	No	
3. Has the lead audit partner for the independent audit firm changed in the last five years in accordance with section 2802(4) of PAL?	No	N/A
4. Does the independent auditor provide non-audit services to the authority?	No	N/A
5. Does the authority have an organization chart?	Yes	www.co.ontario.ny.us
6. Are any authority staff also employed by another government agency?	Yes	Ontario County
7. Does the authority have Claw Back agreements?		

Governance Information (Board-Related)

Question	Response	URL
1. Has the Board established a Governance Committee in accordance with Section 2824(7) of PAL?	Yes	N/A
2. Has the Board established an Audit Committee in accordance with Section 2824(4) of PAL?	Yes	N/A
3. Provide a URL link where a list of Board committees can be found (including the name of the committee and the date established):		www.co.ontario.ny.us
4. Does the majority of the Board meet the independence requirements of Section 2825(2) of PAL?	Yes	N/A
5. Provide a URL link to the minutes of the Board and committee meetings held during the covered fiscal year		www.co.ontario.ny.us
6. Has the Board adopted a statement of Board duties and responsibilities?	Yes	www.co.ontario.ny.us
7. Has the Board adopted bylaws and made them available to Board members and staff?	Yes	www.co.ontario.ny.us
8. Has the Board adopted a code of ethics for Board members and staff?	Yes	www.co.ontario.ny.us
9. Does the Board review and monitor the authority's implementation of financial and management controls?	Yes	N/A
10. Does the Board execute direct oversight of the CEO and management in accordance with Section 2824(1) of PAL?	Yes	N/A
11. Is there a separation of the Board and CEO/Senior Management positions in accordance with Section 2824(3) of PAL?	Yes	N/A
12. Has the Board adopted policies for the following in accordance with Section 2824(1) of PAL?		
Salary and Compensation	Yes	N/A
Time and Attendance	Yes	N/A
Whistleblower Protection	Yes	N/A
Defense and Indemnification of Board Members	Yes	N/A
13. Has the Board adopted a policy prohibiting the extension of credit to Board members and staff in accordance with Section 2824(5) of PAL?	Yes	N/A
14. Are the authority's Board members, officers, and staff required to submit financial disclosure forms in accordance with Section 2825(3) of PAL?	Yes	N/A

Board of Directors Listing

Name	Chair?	If Yes, Chair Designated By	Appointed By	Nominated By	Confirmed by Senate	Complied w/Training Requirement Of Section 2824	Also holds elected or appointed State government position?	Also holds elected or appointed municipal government position?	Term Start Date	Term Expiration Date
Eddinger, William	No		Ex-Officio	Ex-Officio		Yes	No	Yes	05/28/2008	Ex-Officio
James, Kay W	No		Ex-Officio	Ex-Officio		No	No	Yes	05/28/2008	Ex-Officio
Schoeneman, Andrea	No		Ex-Officio	Ex-Officio	No	Yes	No	No	06/01/2009	Ex-Officio
Bentzoni, Catherine S	No		Ex-Officio	Ex-Officio		Yes	No	Yes	05/28/2008	Ex-Officio
Astles, Geoffrey C	Yes	Elected by Board	Ex-Officio	Ex-Officio		Yes	No	Yes	05/28/2008	Ex-Officio

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt Indicator	Annualized Salary paid by Authority	Bonus Amount paid by Authority	Over time Amount paid by Authority	Total Compensation paid by Authority	Individual also paid by another entity to perform the work of the authority	If yes, is the payment made by state or local government?

Benefit Information

During the fiscal year, did the authority continue to pay for any of the above mentioned benefits for

No

Board Members

Name	Title	Severance Package	Payment for Unused Leave	Club Membership	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of These Benefits	Other
Astles, Geoffrey C	Board of Directors												X	
Bentzoni, Catherine S	Board of Directors												X	
Eddinger, William	Board of Directors												X	
James, Kay W	Board of Directors												X	
Schoeneman, Andrea	Board of Directors												X	

Staff

Name	Title	Severance Package	Payment for Unused Leave	Club Membership	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of These Benefits	Other
No Data has been entered by the Authority for this section in PARIS														

Subsidiary/Affiliate Verification

Is the list of subsidiaries, as assembled by the Office of the State Comptroller, correct? Yes

Are there other affiliates or subsidiaries of the authority that are active, not included in the PARIS reports submitted by this authority? No

Name of Subsidiary/Affiliate	Status	Requested Changes
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Subsidiary/Affiliate Creation

Name of Subsidiary/Affiliate	Establishment Date	Entity Purpose
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Subsidiary/Affiliate Termination

Name of Subsidiary/Affiliate	Termination Date	Termination Reason	Proof Termination
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No Data has been entered by the Authority for this section in PARIS

Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

<u>Assets</u>	
Current Assets	
Cash and cash equivalents	\$147,684
Investments	\$1,498,509
Receivables, net	\$1,450,000
Other assets	\$0
Total Current Assets	\$3,096,193
Noncurrent Assets	
Restricted cash and investments	\$553,572
Long-term receivables, net	\$0
Other assets	\$0
Capital Assets	
Land and other nondepreciable property	\$0
Buildings and equipment	\$0
Infrastructure	\$0
Accumulated depreciation	\$0
Net Capital Assets	\$0
Total Noncurrent Assets	\$553,572
Total Assets	\$3,649,765

Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

Liabilities

Current Liabilities

Accounts payable	\$0
Pension contribution payable	\$0
Other post-employment benefits	\$0
Accrued liabilities	\$130,781
Deferred revenues	\$0
Bonds and notes payable	\$510,000
Other long-term obligations due within one year	\$0
Total Current Liabilities	\$640,781

Noncurrent Liabilities

Pension contribution payable	\$0
Other post-employment benefits	\$0
Bonds and notes payable	\$25,339,966
Other long-term obligations	\$0
Total Noncurrent Liabilities	\$25,339,966

Total Liabilities

\$25,980,747

Net Asset (Deficit)

Net Asset

Invested in capital assets, net of related debt	\$0
Restricted	\$3,096,193
Unrestricted	(\$25,427,175)
Total Net Assets	(\$22,330,982)

Summary Financial Information
SUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGES IN NET ASSETS
Operating Revenues

Charges for services	\$0
Rental & financing income	\$0
Other operating revenues	\$1,450,000
Total Operating Revenue	\$1,450,000

Operating Expenses

Salaries and wages	\$0
Other employee benefits	\$0
Professional services contracts	\$23,023
Supplies and materials	\$0
Depreciation & amortization	\$0
Other operating expenses	\$5,568
Total Operating Expenses	\$28,591

Operating Income (Loss) **\$1,421,409**

Nonoperating Revenues

Investment earnings	\$65,821
State subsidies/grants	\$0
Federal subsidies/grants	\$0
Municipal subsidies/grants	\$0
Public authority subsidies	\$0
Other nonoperating revenues	\$52,015
Total Nonoperating Revenue	\$117,836

Summary Financial InformationSUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGES IN NET ASSETSNonoperating Expenses

Interest and other financing charges	\$1,928,858
Subsidies to other public authorities	\$0
Grants and donations	\$0
Other nonoperating expenses	\$72,003
Total Nonoperating Expenses	\$2,000,861
Income (Loss) Before Contributions	(\$461,616)
Capital Contributions	\$0
Change in net assets	(\$461,616)
Net assets (deficit) beginning of year	(\$21,869,366)
Other net assets changes	\$0
Net assets (deficit) at end of year	(\$22,330,982)

Current Debt

Question	Response
1. Did the Authority have any outstanding debt, including conduit debt, at any point during the reporting period?	Yes
2. If yes, has the Authority issued any debt during the reporting period?	No

New Debt Issuances List by Type of Debt and Program

No Data has been entered by the Authority for this section in PARIS

Schedule of Authority Debt

Type of Debt	Statutory Authorization (\$)	Outstanding Start of Fiscal year (\$)	New Debt Issuances (\$)	Debt Retired (\$)	Outstanding End of Fiscal Year (\$)
State Obligation					
State Guaranteed					
State Supported					
State Contingent Obligation					
State Moral Obligation					
Other State Funded					
Authority Obligation					
General Obligation	0.00	0.00	0.00	0.00	0.00
Revenue	0.00	0.00	0.00	0.00	0.00
Other Non-State Funded	0.00	26,053,796.00	0.00	203,830.00	25,849,966.00
Conduit					
Conduit Debt					
Conduit Debt - Pilot Increment Financing					

Real Property Acquisition/Disposal List

This Authority has indicated that it had no real property acquisitions or disposals during the reporting period.

Personal Property

This Authority has indicated that it had no personal property disposals during the reporting period.

Property Documents

Question	Response	URL (if applicable)
1. In accordance with Section 2896(3) of PAL, the authority is required to prepare a report at least annually of all real property of the authority. Has this report been prepared?	No	
2. Has the authority prepared policies, procedures, or guidelines regarding the use, awarding, monitoring, and reporting of contracts for the acquisition and disposal of property?	Yes	www.co.ontario.ny.us
3. In accordance with Section 2896(1) of PAL, has the authority named a contracting officer who shall be responsible for the authority's compliance with and enforcement of such guidelines?	No	

Grant Information

This Authority has indicated that it did not award any grants during the reporting period.

Loan Information

This Authority has indicated that it did not award any loans during the reporting period.

Bond Information

This Authority has indicated that it did not have any outstanding bonds during the reporting period.

Additional Comments: